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# SPECIAL TYPE OF ADDITIVE MAPS IN PRIME RINGS WITH ANNIHILATING AND CENTRALIZING CONDITION

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#### Abstract

Let R be a prime ring with char  $R \neq 2$  and  $f(r_1, \ldots, r_n)$  be a noncentral multilinear polynomial over C(=Z(U)), where U is the Utumi ring of quotients of R. Let I be a nonzero two sided ideal of R, L a non central Lie ideal of R and  $\mathscr{F}$ ,  $\mathscr{G}$  two generalized derivations of R. Denote the set  $f(I) = \{f(r_1, \ldots, r_n) \mid r_1, \ldots, r_n \in I\}$ . If for some  $0 \neq a \in R$ ,

$$a[(\mathscr{F}^2 + \mathscr{G})(u), u] \in C$$

for all  $u \in f(I)$  or  $u \in L$ , then possible forms of the maps are described. This result improves the result proved by De Filippis *et al.* in [8] and Carini and Scudo in [6].

**Keywords:** prime ring, derivation, generalized derivation.

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## 1. Introduction

Let R be a prime ring with center Z(R), U be its Utumi ring of quotients. C is the extended centroid of R which is basically center of U. By a derivation d on R, one usually means an additive mapping  $d: R \to R$  such that for any  $x, y \in R$ , d(xy) = d(x)y + xd(y). By a generalized derivation g on R, one usually means an additive mapping  $g: R \to R$  such that for any  $x, y \in R$ , g(xy) = g(x)y + xd(y) for some derivation d in R. Every derivation is a generalized derivation. Thus generalized derivation map is the generalization of the map derivation.

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For any  $a, b \in R$ , we denote [a, b] = ab - ba, which is called the commutator of a and b. The standard polynomial of four variables is  $s_4(t_1, t_2, t_3, t_4) = \sum_{\sigma \in S_4} (-1)^{\sigma} t_{\sigma(1)} t_{\sigma(2)} t_{\sigma(3)} t_{\sigma(4)}$ , where  $(-1)^{\sigma}$  is +1 or -1 according to  $\sigma$  being an even or an odd permutation in symmetric group  $S_4$ . R satisfies  $s_4$ , we mean  $s_4(t_1, t_2, t_3, t_4) = 0$  for all  $t_1, t_2, t_3, t_4 \in R$ . Let  $f(r_1, \ldots, r_n)$  be a noncentral valued multilinear polynomial over C in n non-commuting variables.

Let S be a nonempty subset of R. Then f(S) denotes the set of all evaluations of  $f(x_1, \ldots, x_n)$  over S, that is,  $f(S) = \{f(x_1, \ldots, x_n) | x_1, \ldots, x_n \in S\}$ . A mapping  $\chi : R \to R$  is said to be commuting on S if  $[\chi(s), s] = 0$  for all  $s \in S$  and centralizing on S if  $[\chi(s), s] \in Z(R)$  for all  $s \in S$ .

Let d, g be two derivations and  $\mathscr{F}, \mathscr{G}$  two generalized derivations on a prime ring R. A well known result proved by Posner [26], says that if a nonzero centralizing derivation exists in a prime ring R, then the ring R must be commutative. After that, several authors have given their contributions to the theory extending Posner's [26] result in many directions (for instance, we refer to [1–4,8,11]).

In [15], authors of this paper studied the case when  $d^2$  is commuting and centralizing on f(I), where I is a non-zero right ideal of R.

In [11], De Filippis studied the case when  $\mathcal{G}$  is commuting on f(I), where I is a non-zero right ideal of R and then described forms of the maps.

In [22, Theorem 2.1], Lee *et al.* introduced a special type of additive map  $d^2 + g$  and then initiated to study this type of map. They proved that if R is a n!-torsion free semiprime ring such that  $[(d^2 + g)(s), s^n] = 0$  for all  $s \in R$ , then d and g are both commuting on R.

Further this special type of additive map was studied by Rehman and De Filippis in [27], replacing derivations with generalized derivation, that is, the map  $\mathscr{F}^2 + \mathscr{G}$ .

Inspired by the above cited results, in [8], De Filippis *et al.* studied the additive map  $\mathscr{F}^2 + \mathscr{G}$  centralizing on f(I), that is,  $[(\mathscr{F}^2 + \mathscr{G})(f(I)), f(I)] = 0$ , where I is a non-zero right ideal of R and then obtained forms of the maps.

There is also ongoing interest to investigate the above identities with left annihilating conditions.

In [10], De Filippis proved that if char  $(R) \neq 2$  and  $0 \neq a \in R$  such that  $a[\mathcal{F}(f(R)), f(R)] = 0$ , then one of the following holds:

- (1) there exists  $\alpha' \in C$  such that  $\mathscr{F}(x) = \alpha' x$  for all  $x \in R$ ,
- (2) there exist  $q' \in U$  and  $\lambda' \in C$  such that  $\mathscr{F}(x) = (q' + \lambda')x + xq'$  for all  $x \in R$  and  $f(r_1, \ldots, r_n)^2$  is central valued on R.

In [13, Corollary 2.7], Dhara et al. studied the above situation of [10] with central valued, that is,  $a[\mathscr{F}(f(R)), f(R)] \in C$  and described the forms of the maps.

Carini and Scudo in [6], already proved that if char  $(R) \neq 2$  and  $0 \neq a \in R$  such that  $a[\mathcal{F}^2(f(R)), f(R)] = 0$ , then one of the following holds:

- (1) there exists  $\alpha' \in C$  such that  $\mathscr{F}(x) = \alpha' x$ , for all  $x \in R$ ,
- (2) there exists  $a' \in U$  such that  $\mathscr{F}(x) = a'x$ , for all  $x \in R$ , with  $a'^2 \in C$ ,
- (3) there exists  $a' \in U$  such that  $\mathscr{F}(x) = xa'$ , for all  $x \in R$ , with  $a'^2 \in C$ .

Recently in [12], Dhara *et al.* studied the above situation of [6] with central values, that is,  $a[\mathscr{F}^2(f(R)), f(R)] \in C$ .

In the present article our motivation is to examine the above situation of [8], with annihilator and centralizing conditions which improves and generalizes all the above results. More precisely, we prove the following theorems.

**Theorem 1.1.** Let R be a prime ring with char  $(R) \neq 2$  and  $f(r_1, \ldots, r_n)$  be a non-central multilinear polynomial over C(=Z(U)), where U be the Utumi ring of quotients of R. Assume that I is a nonzero two sided ideal of R and  $\mathscr{F}$ ,  $\mathscr{G}$  are two generalized derivations of R. Denote the set  $f(I) = \{f(r_1, \ldots, r_n) | r_1, \ldots, r_n \in I\}$ . If for some  $0 \neq a \in R$ ,

$$a[(\mathscr{F}^2 + \mathscr{G})(f(r_1, \dots, r_n)), f(r_1, \dots, r_n)] \in C$$

for all  $r_1, \ldots, r_n \in I$ , then one of the following holds:

- (1) there exist  $b, p \in U$  such that  $\mathscr{F}(x) = xb$  and  $\mathscr{G}(x) = xp$  for all  $x \in R$  with  $b^2 + p \in C$ ,
- (2) there exist  $b, p \in U$  such that  $\mathscr{F}(x) = bx$ ,  $\mathscr{G}(x) = px$  for all  $x \in R$  with  $b^2 + p \in C$ ,
- (3)  $f(x_1, \ldots, x_n)^2$  is central valued and one of the following holds:
  - (a) there exist  $b, p, q \in U$  such that  $\mathscr{F}(x) = xb$  and  $\mathscr{G}(x) = px + xq$  for all  $x \in R$ , with  $b^2 p + q \in C$ ,
  - (b) there exist  $b, p, q \in U$  such that  $\mathscr{F}(x) = bx$  and  $\mathscr{G}(x) = px + xq$  and for all  $x \in R$  with  $b^2 + p q \in C$ ,
- (4) R satisfies  $s_4$  and one of the following holds:
  - (a) there exist  $b, p, q \in U$  such that  $\mathscr{F}(x) = xb$  and  $\mathscr{G}(x) = px + xq$  for all  $x \in R$ , with  $b^2 p + q \in C$ ,
  - (b) there exist  $b, p, q \in U$  such that  $\mathscr{F}(x) = bx$  and  $\mathscr{G}(x) = px + xq$  for all  $x \in R$  with  $b^2 + p q \in C$ .

**Theorem 1.2.** Let R be a prime ring, L a noncentral Lie ideal of R and U the Utumi quotient ring of R, C = Z(U). Suppose that  $\mathscr{F}$  and  $\mathscr{G}$  are two generalized derivations of R such that for some  $0 \neq a \in R$ ,

$$a[(\mathscr{F}^2 + \mathscr{G})(u), u] \in C$$

for all  $u \in L$ .

If char  $(R) \neq 2$ , then R satisfies  $s_4$  and one of the following holds:

- (1) there exist  $b, p, q \in U$  such that  $\mathscr{F}(x) = xb$  and  $\mathscr{G}(x) = px + xq$  for all  $x \in R$ , with  $b^2 (p q) \in C$ ,
- (2) there exist  $b, p, q \in U$  such that  $\mathscr{F}(x) = bx$  and  $\mathscr{G}(x) = px + xq$  for all  $x \in R$  with  $b^2 + p q \in C$ .

If char(R) = 2, then one of the following holds:

- (1) there exist  $b, c, p, q \in U$  such that  $\mathscr{F}(x) = bx + [p, x]$  and  $\mathscr{G}(x) = cx + [q, x]$  for all  $x \in R$  with  $\mathscr{F}(b) + c, p^2 + q \in C$ ;
- (2) R satisfies  $s_4$ .

**Example 1.** Consider a ring  $R = \left\{ \begin{pmatrix} a & b \\ 0 & 0 \end{pmatrix} | a, b \in Z \right\}$ , where Z is the set of all integers and a multilinear polynomial f(x,y) = xy which is not central valued on R. Note that R is not prime ring as  $\begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix} \begin{pmatrix} a & b \\ 0 & 0 \end{pmatrix} \begin{pmatrix} 1 & 1 \\ 0 & 0 \end{pmatrix} = \begin{pmatrix} 0 & 0 \\ 0 & 0 \end{pmatrix}$ . We define maps  $\mathscr{F},\mathscr{G},d,g:R\to R$  by  $\mathscr{G}\begin{pmatrix} a & b \\ 0 & 0 \end{pmatrix} = \begin{pmatrix} a & 2b \\ 0 & 0 \end{pmatrix}, g\begin{pmatrix} a & b \\ 0 & 0 \end{pmatrix} = \begin{pmatrix} 0 & b \\ 0 & 0 \end{pmatrix}$  and  $d\begin{pmatrix} a & b \\ 0 & 0 \end{pmatrix} = \begin{pmatrix} 0 & 2b \\ 0 & 0 \end{pmatrix}$ . Then  $\mathscr{F}$  and  $\mathscr{G}$  are generalized derivations of R associated to derivations d and g respectively. We see that for  $0\neq p=\begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix}\in R$ ,

$$p[(\mathscr{F}^2 + \mathscr{G})(f(x,y)), f(x,y)] = 0 \in Z(R)$$

for all  $x, y \in R$ . Since  $\mathscr{F}$  is not in the form of  $\mathscr{F}(x) = bx$  or  $\mathscr{F}(x) = xb$  for all  $x \in R$  and for some fixed  $b \in R$ , the primeness assumption is not superfluous in Theorem 1.1.

#### 2. Some results

Throughout this section, R always be a prime ring, I is two sided ideal of R and  $f(r_1, \ldots, r_n)$  a noncentral valued multilinear polynomial over C. The C denotes the extended centroid of R which is the center of U.

The following facts are to be used frequently to prove our Theorem.

**Fact 2.1.** Let us denote by  $T = U *_C C\{X\}$ , the free product over C of the C-algebra U and the free C-algebra  $C\{X\}$ , with X the countable set consisting of

the noncommuting indeterminates  $x_1, x_2, \ldots$  The elements of T are called generalized polynomials with coefficients in U. By a nontrivial generalized polynomial, we mean a nonzero element of T. For more details about these objects we refer to [5, 18].

By [7], I, R and U satisfy the same generalized polynomial identities (GPIs) with coefficients in U.

Fact 2.2. By [23], I, R and U satisfy the same differential identities.

**Fact 2.3** [4, Lemma 3]. If there exist  $a, c, p, q \in U$  such that

$$(aX + Xc)X - X(pX + Xq) = 0$$

for all  $X \in f(R)$ , then one of the following holds:

- (1)  $a, q \in C \text{ and } q a = c p \in C;$
- (2)  $f(x_1,...,x_n)^2$  is central valued on R and  $q-a=c-p\in C$ ;
- (3) char(R) = 2 and R satisfies  $s_4$ .

**Fact 2.4** (See [20,23]). Let Der(U) be the set of all derivations on U and  $D_{int}$  be the set of all inner derivations on U.

By [20, Theorem 2] (see also [23, Theorem 1]), we have the following result. Let  $d_1, \ldots, d_m \in \text{Der}(U)$  and derivations words  $\Delta_j$  are in the form

$$\Delta_j = d_1^{s_{1,j}} d_2^{s_{2,j}} \cdots d_m^{s_{m,j}} \quad j = 1, \dots, n$$

where

$$s = \max \{s_{i,j}, i = 1, \dots, m \ j = 1, \dots, n\}.$$

If  $d_1, \ldots, d_m$  are linearly C-independent modulo  $D_{\text{int}}$ , s < p, with  $char(R) = p \neq 0$ , and  $\Phi\left(x_i^{\Delta_j}\right) = 0$  be a differential identity on R, then  $\Phi(y_{ji}) = 0$  is a GPI for R, where  $y_{ji}$  are distinct indeterminates.

In particular, if derivation  $d \notin D_{int}$  and char  $(R) \neq 2$  such that R satisfies

$$\Phi\left(x_{1},\ldots,x_{n},x_{1}^{d},\ldots,x_{n}^{d},x_{1}^{d^{2}},\ldots,x_{n}^{d^{2}}\right)=0,$$

then R satisfies GPI

$$\Phi(x_1,\ldots,x_n,z_1,\ldots,z_n,\eta_1,\ldots,\eta_n)=0.$$

**Fact 2.5** [9, Lemma 1]. Let C be an infinite field, t be a positive integer with  $t \geq 2$  and  $R = M_t(C)$ , the algebra of all  $t \times t$  matrices over C. Let  $B_1, \ldots, B_k$  be not scalar matrices in R. Then there must exists at least one invertible matrix  $Q \in R$  such that all the entries of the matrices  $QB_1Q^{-1}, \ldots, QB_kQ^{-1}$  have non-zero values.

Fact 2.6. If R satisfies a nontrivial generalized polynomial identity (GPI)  $\chi(r_1, \ldots, r_n) = 0$ , then it is also satisfied by U by [7]. Let E be the algebraic closure of C. We know that if C is infinite, then  $\chi(r_1, \ldots, r_n) = 0$  for all  $r_1, \ldots, r_n \in U \otimes_C E$ . Since both of U and  $U \otimes_C E$  are prime and centrally closed (see [16, Theorems 2.5 and 3.5]), we may replace R by U or R by  $U \otimes_C E$  according to C finite or infinite and hence we may assume that R is centrally closed over C. Then by [25], R is a primitive ring having a nonzero socle soc(R) and C is its associated division ring. By Jacobson's theorem [19, p. 75], R is isomorphic to a dense ring of linear transformations of a vector space V over C.

**Fact 2.7.** Let  $X = \{x_1, x_2, \ldots\}$  be a countable set of consisting non-commuting indeterminates  $x_1, x_2, \ldots$  We denote  $T = U *_C C\{X\}$ , the free product of the C-algebra U and the free C-algebra  $C\{X\}$ . Then the element of T are called the generalized polynomials.

Then any element  $m \in T$  of the form  $m = q_0 y_1 q_1 y_2 q_2 \dots y_n q_n$ , where  $q_0, q_1, \dots, q_n \in U$  and  $y_1, \dots, y_n \in X$  is called a monomial.

Let B be a set of C-independent vectors of U. Each  $f \in T$  can be represented in the form  $f = \sum_i \alpha_i m_i$ , where  $\alpha_i \in C$  and  $m_i$  are B-monomials and this representation is unique. Any generalized polynomial  $f = \sum_i \alpha_i m_i$  is trivial, i.e., zero element in T if and only if  $\alpha_i = 0$  for each i. For details we refer the reader to [7].

We shall use this simple criterion to prove that R satisfies a nontrivial generalized polynomial identity (GPI).

## 3. The Case: Inner generalized derivations

This section is dedicated, when all generalized derivations are inner.

**Lemma 3.1.** Let R be a prime ring with char  $(R) \neq 2$  and  $a, a', a'', b, c, c', p' \in R$  such that

$$[a'X^{2} + a''XcX + aXp'X - 2aXbXc - aX^{2}c', y] = 0$$

for all  $X \in f(R)$  and  $y \in R$ . If  $a \notin C$ ,  $b \notin C$  and  $c \notin C$ , then (3.1) is a non-trivial GPI for R.

**Proof.** Let  $a \notin C$ ,  $b \notin C$  and  $c \notin C$ . By Fact 2.1, U satisfies (3.1). On contrary, we assume that (3.1) is a trivial GPI for U. Let  $T = U *_C C\{r_1, \ldots, r_n, y\}$ , the free product of U and  $C\{r_1, \ldots, r_n, y\}$ , the free C-algebra in noncommuting indeterminates  $r_1, \ldots, r_n, y$ . Let  $f(r_1, \ldots, r_n) = X$ . Then

$$[a'X^{2} + a''XcX + aXp'X - 2aXbXc - aX^{2}c', y] = 0 \in T.$$

From above

(3.3) 
$$y\left\{a'X^2 + a''XcX + aXp'X - 2aXbXc - aX^2c'\right\} = 0 \in T.$$

This implies that  $\{c, c', 1\}$  is linearly C-dependent, other wise aXc' = 0 implying a = 0 or c' = 0, a contradiction. Then there exists  $\alpha_1, \alpha_2, \alpha_3 \in C$  such that  $\alpha_1c + \alpha_2c' + \alpha_3.1 = 0$ . If  $\alpha_2 = 0$ , then  $\alpha_1 \neq 0$ , because of the C-independency of  $\{c, c', 1\}$ . So this fact implies that c' = 0, a contradiction. Thus  $\alpha_2 \neq 0$  and hence  $c' = \alpha + \beta c$ , where  $\alpha = -\alpha_2^{-1}\alpha_3, \beta = -\alpha_2^{-1}\alpha_1$ . Then U satisfies

(3.4) 
$$y\left\{a'X^2 + a''XcX + aXp'X - 2aXbXc - aX^2(\alpha + \beta c)\right\} = 0.$$

Since  $c \notin C$ , this implies that

$$(3.5) y\left\{-2aXbXc - \beta aX^2c\right\} = 0$$

that is,  $y\{(2aXb + \beta aX)Xc\} = 0$ . Again, since  $b \notin C$ , U satisfies 2yaXbXc = 0, implying either a = 0 or b = 0 or c = 0, a contradiction.

**Lemma 3.2.** Let R be a prime ring with char  $(R) \neq 2$  and  $a, a', b, c, c', p' \in R$  such that

$$[a'X^{2} + 2bXcX + Xp'X - 2XbXc - X^{2}c', y] = 0$$

for all  $X \in f(R)$  and  $y \in R$ . If  $b \notin C$  and  $c \notin C$ , then (3.6) is a non-trivial generalized polynomial identity for R.

**Proof.** Let  $b \notin C$  and  $c \notin C$ . By Fact 2.1, U satisfies (3.6). On contrary, we assume that (3.6) is a trivial GPI for U. Let  $T = U *_C C\{r_1, \ldots, r_n, y\}$ , the free product of U and  $C\{r_1, \ldots, r_n, y\}$ . Let  $f(r_1, \ldots, r_n) = X$ . Then

$$[a'X^2 + 2bXcX + Xp'X - 2XbXc - X^2c', y] = 0 \in T.$$

From above

(3.8) 
$$y\{a'X^2 + 2bXcX + Xp'X - 2XbXc - X^2c'\}$$

is zero element in T. This implies that  $\{c,c',1\}$  is linearly C-dependent. Then there exist  $\alpha_1,\alpha_2,\alpha_3\in C$  such that  $\alpha_1c+\alpha_2c'+\alpha_3.1=0$ . If  $\alpha_2=0$ , then  $c\in C$ , a contradiction. Thus  $\alpha_2\neq 0$  and hence  $c'=\alpha+\beta c$ , where  $\alpha=-\alpha_2^{-1}\alpha_3$  and  $\beta=-\alpha_2^{-1}\alpha_1$ . Then U satisfies

(3.9) 
$$y\{a'X^2 + 2bXcX + Xp'X - 2XbXc - X^2(\alpha + \beta c)\} = 0.$$

Since  $c \notin C$ , this implies that

(3.10) 
$$y\{-2XbXc - \beta X^{2}c\} = 0$$

that is,  $y\{(2Xb+\beta X)Xc\}=0$ . Again, since  $b\notin C$ , U satisfies 2yXbXc=0, implying either b=0 or c=0, a contradiction.

**Lemma 3.3.** Let C be a field, m be a positive integer with  $m \ge 2$  and  $R = M_m(C)$  be the ring of all  $m \times m$  matrices over C with char  $R \ne 2$ . If  $a, a', a'', b, c, c', p' \in R$  such that R satisfies

$$[a'X^{2} + a''XcX + aXp'X - 2aXbXc - aX^{2}c', y] = 0$$

for all  $X \in f(R)$  and  $y \in R$ , then either  $a \in C.I_m$  or  $b \in C.I_m$  or  $c \in C.I_m$ .

**Proof.** We consider the following two cases.

Case 1. When C is infinite field.

On contrary, we assume that  $a \notin C.I_m$ ,  $b \notin C.I_m$  and  $c \notin C.I_m$ . We denote by  $e_{hl}$  the usual matrix unit, that is, 1 in (h, l)-entry and zero elsewhere.

By Fact 2.5, there exists an invertible matrix N such that all the entries of the matrices  $NaN^{-1}$ ,  $NbN^{-1}$  and  $NcN^{-1}$  are nonzero. Let  $\phi(x) = NxN^{-1}$ , an inner automorphism on R. Then by hypothesis, for all  $X \in f(R)$ ,

$$[\phi(a')X^{2} + \phi(a'')X\phi(c)X + \phi(a)X\phi(p')X$$

$$(3.12) -2\phi(a)X\phi(b)X\phi(c) - \phi(a)X^{2}\phi(c'), y] = 0.$$

By [24], since  $f(r_1, ..., r_n)$  is not central valued, there exist matrices  $r_1, ..., r_n \in M_m(C)$  such that  $f(r_1, ..., r_n) = \gamma e_{ij}$ , with  $i \neq j$ , where  $\gamma \in C - \{0\}$ . Thus we can substitute the value of X as  $e_{ij}$  in (3.12) and then we have  $[\phi(a'')e_{ij}\phi(c)e_{ij} + \phi(a)e_{ij}\phi(p')e_{ij} - 2\phi(a)e_{ij}\phi(b)e_{ij}\phi(c), e_{ij}] = 0$ . Left multiplying by  $e_{ij}$  yields

$$2e_{ij}\phi(a)e_{ij}\phi(b)e_{ij}\phi(c)e_{ij} = 0,$$

which is a contradiction, since all the entries of the matrices  $\phi(a)$ ,  $\phi(b)$  and  $\phi(c)$  are non-zero.

Case 2. When C is finite field.

Let E be an infinite field such that  $C \subseteq E$ , that is, E is an extension of C. Let  $\overline{R} = M_m(E) \cong R \otimes_C E$ . Note that the multilinear polynomial  $f(r_1, \ldots, r_n)$  is central-valued on R if and only if it is central-valued on  $\overline{R}$ . Consider the generalized polynomial

(3.13) 
$$\Psi(r_1, \dots, r_{n-1}, y) \\
= [a'f(r_1, \dots, r_n)^2 + a''f(r_1, \dots, r_n)cf(r_1, \dots, r_n) \\
+ af(r_1, \dots, r_n)p'f(r_1, \dots, r_n) - 2af(r_1, \dots, r_n)bf(r_1, \dots, r_n)c \\
- af(r_1, \dots, r_n)^2c', y].$$

Then  $\Psi(r_1,\ldots,r_{n-1},y)=0$  is a GPI for R.

Notice that  $\Psi(r_1, \ldots, r_{n-1}, y)$  is a polynomial of multi-degree  $(2, \ldots, 2)$  in the indeterminates  $r_1, \ldots, r_n$  and degree 1 in the indeterminate y.

Now linearizing the identity  $\Psi(r_1, \ldots, r_{n-1}, y) = 0$  with respect to variable  $r_1$  (i.e., replacing  $r_1$  with  $r_1 + s_1$ ), we get a polynomial identity for R

$$\Psi_1(r_1, \dots, r_{n-1}, s_1, y) = 0$$

such that  $\Psi_1(r_1,\ldots,r_{n-1},r_1,y)=2\Psi(r_1,\ldots,r_{n-1},y)$ . Continuing the process of linearization, we get a multilinear generalized polynomial identity of 2n+1 indeterminates

$$\Psi_n(r_1,\ldots,r_n,s_1,\ldots,s_n,y)=0$$

such that

$$\Psi_n(r_1, \dots, r_n, r_1, \dots, r_n, y) = 2^n \Psi(r_1, \dots, r_n, y).$$

Since  $\Psi_n(r_1,\ldots,r_n,s_1,\ldots,s_n,y)$  is the multilinear polynomial, we can write that

$$\Psi_n(r_1,\ldots,r_n,s_1,\ldots,s_n,y)=0$$

is a GPI for R and  $\overline{R}$  too. Since  $char(C) \neq 2$  we have  $\Psi(r_1, \ldots, r_n, y) = 0$  for all  $r_1, \ldots, r_n, y \in \overline{R}$  and thus the conclusion follows by case-1 as above.

As a particular case of above Lemma 3.3, we have the following corollary.

**Corollary 3.4.** Let C be a field and m be a fixed positive integer with  $m \geq 2$ . Let  $R = M_m(C)$  be the ring of all  $m \times m$  matrices over C. If for some a, a',  $a'', b, c, c', p' \in R$  such that

$$\left[a'r^2 + a''rcr + arp'r - 2arbrc - ar^2c', y\right] = 0$$

for all  $r, y \in R$ , then either  $a \in C.I_m$  or  $b \in C.I_m$  or  $c \in C.I_m$ .

**Lemma 3.5.** Let R be a prime ring,  $f(r_1, ..., r_n)$  a non-central multilinear polynomial over C and  $a, a', a'', b, c, c', p' \in R$ . If char  $(R) \neq 2$  and

$$[a'X^{2} + a''XcX + aXp'X - 2aXbXc - aX^{2}c', y] = 0$$

for all  $X \in f(R)$  and  $y \in R$ , then either  $a \in C$  or  $b \in C$  or  $c \in C$ .

**Proof.** By hypothesis and Fact 2.1,

$$[a'X^{2} + a''XcX + aXp'X - 2aXbXc - aX^{2}c', y] = 0$$

for all  $X \in f(U)$  and  $y \in R$ . By Lemma 3.1, above identity is a non-trivial GPI. Then by Fact 2.6, R is isomorphic to a dense ring of linear transformations of a vector space V over C.

Let  $\dim_C V = m$ . By density of R, then  $R \cong M_m(C)$ . Given that  $f(r_1, \ldots, r_n)$  is not central valued on R and therefore, R must be noncommutative. Hence  $m \geq 2$ . In this case, by Lemma 3.3, we get our conclusions.

Let  $\dim_{\mathbb{C}} V = \infty$ . Since the set f(R) is dense on R (Lemma 2 in [28]), from above, R satisfies

(3.16) 
$$[a'r^2 + a''rcr + arp'r - 2arbrc - ar^2c', y] = 0.$$

In this case we want to prove that either  $a \in C$  or  $b \in C$  or  $c \in C$ . We know the fact that for any element  $q \in R$ , [q, Soc(RC)] = (0) implies  $q \in C$ . Hence on contrary, we assume that  $a \notin C$ ,  $b \notin C$  and  $c \notin C$ . Hence, there exist  $h_0, h_1, h_2 \in Soc(R)$  such that  $[a, h_0] \neq 0$ ,  $[b, h_1] \neq 0$  and  $[c, h_2] \neq 0$ . Now we show a number of contradiction. Since  $\dim_C V = \infty$ , for any idempotent  $e \in Soc(R)$ , we have  $eRe \cong M_k(C)$  with  $k = \dim_C Ve$ . By Litoff's theorem [17], there exists an idempotent  $e \in Soc(R)$  such that  $h_0, h_1, h_2, h_0a, ah_0, h_1b, bh_1, h_2c, ch_2 \in eRe$ , where  $eRe \cong M_k(C)$ ,  $k = \dim_C Ve$ . Since R satisfies

$$(3.17) \quad e[a'(ere)^2 + a''erecere + aerep'ere - 2aereberec - a(ere)^2c', eye]e = 0,$$

the subring eRe satisfies

$$(3.18) \qquad \left[ ea'er^2 + ea''erecer + eaerep'er - 2eaereberece - eaer^2ec'e, y \right] = 0.$$

Then by Corollary 3.4,  $eae \in eC$  or  $ebe \in eC$  or  $ece \in eC$ . If  $eae \in eC$ , then

$$ah_0 = eah_0 = eaeh_0 = h_0eae = h_0ae = h_0a.$$

If  $ebe \in eC$ , then

$$bh_1 = ebh_1 = ebeh_1 = h_1ebe = h_1be = h_1b$$

and if  $ece \in eC$ , then

$$ch_2 = ech_2 = eceh_2 = h_2ece = h_2ce = h_2c.$$

In any case, we have contradiction with the choices of  $h_0$ ,  $h_1$  and  $h_2$ .

Thus we conclude that either  $a \in C$  or  $b \in C$  or  $c \in C$ .

**Lemma 3.6.** Let R be a prime ring,  $f(r_1, ..., r_n)$  a non-central multilinear polynomial over C and char  $(R) \neq 2$ , where C is the extended centroid of R. If a, a',  $b, c, c', p' \in R$  such that R satisfies

$$[a'X^2 + 2bXcX + Xp'X - 2XbXc - X^2c', y] = 0$$

for all  $X \in f(R)$  and  $y \in R$ , then either  $b \in C$  or  $c \in C$ .

**Proof.** By hypothesis

$$[a'X^2 + 2bXcX + Xp'X - 2XbXc - X^2c', y] = 0$$

for all  $X \in f(R)$  and  $y \in R$ . If this is a trivial GPI for R, then by Lemma 3.2, either  $b \in C$  or  $c \in C$ . Now assume that (3.20) is a nontrivial GPI for R. Then by Fact 2.6, R is isomorphic to a dense ring of linear transformations of a vector space V over C. If  $\dim_C V = m$ , then  $R \cong M_m(C)$  and  $M_m(C)$  satisfies (3.20). By [24], since  $f(r_1, \ldots, r_n)$  is not central valued, there exist matrices  $r_1, \ldots, r_n \in M_m(C)$  and  $\gamma \in C - \{0\}$  such that  $f(r_1, \ldots, r_n) = \gamma e_{ij}$ , with  $i \neq j$ . Thus we can substitute a particular value of X with  $e_{ij}$  in (3.20), and then we have  $[2be_{ij}ce_{ij} + e_{ij}p'e_{ij} - 2e_{ij}be_{ij}c, e_{ij}] = 0$ . This implies  $-4b_{ji}c_{ji} = 0$ . Then by same argument as given in Lemma 3.3, either  $b \in C$  or  $c \in C$ .

If  $\dim_C V = \infty$ , we have for any idempotent  $e \in Soc(R)$ ,  $eRe \cong M_k(C)$ , with  $k = \dim_C Ve$ . Let  $b \notin C$  and  $c \notin C$ . Then there exist  $h_1, h_2 \in Soc(R)$  such that  $[b, h_1] \neq 0$  and  $[c, h_2] \neq 0$  for some  $h_1, h_2 \in Soc(R)$ . By Litoff's theorem [17] there exists an idempotent  $e \in Soc(R)$  such that  $h_1, h_2, h_1b, bh_1, h_2c, ch_2$  are all in eRe. Moreover, if  $k = \dim_C Ve$ , then  $eRe \cong M_k(C)$ . Since V is infinite dimensional over C, the set f(R) is dense on R ([28, Lemma 2]) and hence by hypothesis, R satisfies the GPI

$$[a'x^{2} + 2bxcx + xp'x - 2xbxc - x^{2}c', y] = 0.$$

Now replacing x with exe and y with eye we have

$$e[a'(exe)^2 + 2b(exe)c(exe) + (exe)p'(exe) - 2(exe)b(exe)c - (exe)^2c', (eye)]e = 0.$$

Thus the subring eRe satisfies the GPI

$$[(ea'e)x^{2} + 2(ebe)x(ece)x + x(ep'e)x - 2x(ebe)x(ece) - x^{2}(ec'e), y] = 0.$$

As above of finite dimensional case, we have either  $ebe \in eC$  or  $ece \in eC$ . If  $ebe \in eC$ , then

$$bh_1 = ebh_1 = ebeh_1 = h_1ebe = h_1be = h_1b,$$

a contradiction and if  $ece \in eC$ , then

$$ch_2 = ech_2 = eceh_2 = h_2ece = h_2ce = h_2ce$$

a contradiction. Therefore, we conclude that either  $b \in C$  or  $c \in C$ .

**Proposition 3.7.** Let R be a noncommutative prime ring,  $f(r_1, \ldots, r_n)$  be a multilinear polynomial over C, which is not central valued on R, where C is the

extended centroid of R. Suppose char  $(R) \neq 2$  and I a nonzero two sided ideal of R. If for some  $b, c, p, q \in U$ ,  $\mathscr{F}(x) = bx + xc$ ,  $\mathscr{G}(x) = px + xq$  for all  $x \in R$  are two inner generalized derivations of R such that

$$a[(\mathscr{F}^2 + \mathscr{G})(f(r)), f(r)] \in C$$

holds for all  $r = (r_1, \ldots, r_n) \in I^n$ , then one of the following holds:

- (1)  $\mathscr{F}(x) = x(b+c)$  and  $\mathscr{G}(x) = x(p+q)$  for all  $x \in R$  with  $(b+c)^2 + p + q \in C$ ;
- (2)  $\mathscr{F}(x) = (b+c)x$ ,  $\mathscr{G}(x) = (p+q)x$  for all  $x \in R$  with  $(b+c)^2 + p + q \in C$ ;
- (3)  $f(x_1, \ldots, x_n)^2$  is central valued and one of the following holds:
  - (a)  $\mathscr{F}(x) = x(b+c)$  and  $\mathscr{G}(x) = px + xq$  for all  $x \in R$ , with  $(b+c)^2 (p-q) \in C$ :
  - (b)  $\mathscr{F}(x) = (b+c)x$  and  $\mathscr{G}(x) = px + xq$  and for all  $x \in R$  with  $(b+c)^2 + p q$   $\in C$ :
- (4) R satisfies  $s_4$  and one of the following holds:
  - (a)  $\mathscr{F}(x) = x(b+c)$  and  $\mathscr{G}(x) = px + xq$  for all  $x \in R$ , with  $(b+c)^2 (p-q) \in C$ ;
  - (b)  $\mathscr{F}(x) = (b+c)x$  and  $\mathscr{G}(x) = px + xq$  for all  $x \in R$  with  $(b+c)^2 + p q \in C$ .

**Proof.** Since I, R and U satisfy same GPIs (see [7]), by hypothesis we have

(3.21) 
$$a[(b^2+p)X + 2bXc + X(c^2+q), X] \in C$$

for all  $X \in f(U)$ .

We re-write it as

$$a(b^{2}+p)X^{2}+2abXcX+aX(c^{2}+q-b^{2}-p)X$$
 (3.22) 
$$-2aXbXc-aX^{2}(c^{2}+q)\in C$$

for all  $X \in f(U)$ . By Lemma 3.5, either  $a \in C$  or  $b \in C$  or  $c \in C$ . If  $0 \neq a \in C$ , then (3.22) reduces to

$$(b^{2}+p)X^{2}+2bXcX+X(c^{2}+q-b^{2}-p)X$$

$$-2XbXc-X^{2}(c^{2}+q) \in C$$

for all  $X \in f(U)$ . In this case by Lemma 3.6, either  $b \in C$  or  $c \in C$ .

Thus we have proved that either  $b \in C$  or  $c \in C$ . Therefore, we examine these two situation in the below mentioned cases.

Case 1.  $b \in C$ . Equation (3.21) reduces to

(3.24) 
$$a[(b^2+p)X + X(2bc+c^2+q), X] \in C$$

for all  $X \in f(U)$ .

By [13, Corollary 2.7], one of the following holds:

- (1)  $f(R)^2 \in C$  and  $(b^2 + p) (2bc + c^2 + q) \in C$ , i.e.,  $p q (b + c)^2 \in C$ . Therefore, form of the map will be  $\mathscr{F}(x) = x(b+c)$  for all  $x \in R$ , which gives our conclusion (1).
- (2)  $b^2+p, 2bc+c^2+q \in C$ . Since  $b \in C$ , we have  $p \in C$ . Therefore,  $\mathscr{F}(x)=x(b+c)$  and  $\mathscr{G}(x)=x(p+q)$  for all  $x \in R$  with  $(b+c)^2+p+q \in C$ .
- (3) R satisfies  $s_4$  and  $(b^2 + p) (2bc + c^2 + q) \in C$  i.e.,  $p q (b + c)^2 \in C$ . In this case  $\mathscr{F}(x) = x(b+c)$  for all  $x \in R$ , which gives our conclusion (3).

Case 2.  $c \in C$ . In this case by (3.21),

(3.25) 
$$a[((b+c)^2 + p)X + Xq, X] \in C$$

for all  $X \in f(U)$ . By [13, Corollary 2.7], one of the following holds:

- (1)  $f(R)^2 \in C$  and  $(b+c)^2 + p q \in C$ . Hence form of the map will be  $\mathscr{F}(x) = (b+c)x$  for all  $x \in R$ , which gives our conclusion (2).
- (2)  $(b+c)^2+p$ ,  $q\in C$ . Thus  $\mathscr{F}(x)=(b+c)x$ ,  $\mathscr{G}(x)=(p+q)x$  for all  $x\in R$  with  $(b+c)^2+p+q\in C$ .
- (3) R satisfies  $s_4$  and  $(b^2 + p + 2bc) (c^2 + q) \in C$ , i.e.,  $(b+c)^2 + p q \in C$ . In this case  $\mathscr{F}(x) = (b+c)x$  for all  $x \in R$ , and thus conclusion (4) follows.

### 4. Proof of Theorem 1.1.

In all that follows, let R be a prime ring,  $f(r_1, ..., r_n)$  a noncentral multilinear polynomial over C, char  $(R) \neq 2$ , where C is the extended centroid of R and U is the Utumi ring of quotients of R. By [21, Theorem 3],  $\mathscr{F}(x) = bx + d(x)$ ,  $\mathscr{G}(x) = cx + \delta(x)$  for some  $b, c \in U$  and d,  $\delta$  are two derivations of U. Then  $\mathscr{F}^2(x) = \mathscr{F}(\mathscr{F}(x)) = \mathscr{F}(b)x + 2bd(x) + d^2(x)$ .

By hypothesis, we have

$$a\big[\mathscr{F}(b)f(r)+2bd(f(r))+d^2(f(r))+cf(r)+\delta(f(r)),f(r)\big]\in C$$

for all  $r = (r_1, \ldots, r_n) \in I^n$ . By Fact 2.1 and Fact 2.2, we have

(4.1) 
$$a[\mathcal{F}(b)f(r) + 2bd(f(r)) + d^2(f(r)) + cf(r) + \delta(f(r)), f(r)] \in C$$

for all  $r = (r_1, \ldots, r_n) \in U^n$ .

If d and  $\delta$  both are inner, by Proposition 3.7, conclusion follows. Thus we need to consider the cases when d and  $\delta$  are not simultaneously inner. Thus the following three cases may occur.

Case 1. d is inner,  $\delta$  is outer.

Assume for some  $p \in U$ , d(x) = [p, x] for all  $x \in R$ . By (4.1), U satisfies

$$(4.2) a\Big[\mathscr{F}(b)f(r) + 2b[p, f(r)] + [p, [p, f(r)]] + cf(r) + \delta(f(r)), f(r)\Big] \in C.$$

By Fact 2.4, we can replace  $\delta(r_i)$  by  $t_i$  for  $i=1,\ldots,n$  in (4.2) and then U satisfies blended component

(4.3) 
$$a\left[\sum_{i} f(r_1,\ldots,t_i,\ldots,r_n), f(r_1,\ldots,r_n)\right] \in C.$$

Replacing  $y_i$  by  $[q', r_i]$  for some  $q' \notin C$ , we have that

$$a \left[ [q', f(r_1, \dots, r_n)], f(r_1, \dots, r_n) \right] \in C$$

for all  $r_1, \ldots, r_n \in U$ . Then by [13, Corollary 2.7],  $q' \in C$ , a contradiction.

Case 2.  $\delta$  is inner, d is outer. Assume for some  $q \in U$ ,  $\delta(x) = [q, x]$  for all  $x \in R$ . By (4.1), for all  $r = (r_1, \ldots, r_n) \in U^n$ ,

(4.4) 
$$a\left[\mathscr{F}(b)f(r) + 2bd(f(r)) + d^2(f(r)) + cf(r) + [q, f(r)], f(r)\right] \in C.$$

Since d is outer, by Fact 2.4, we can replace  $d(r_i)$  by  $y_i$  for i = 1, ..., n and  $d^2(r_i)$  by  $t_i$  for i = 1, ..., n in (4.4) and then U satisfies blended component

(4.5) 
$$a\left[\sum_{i} f(r_1,\ldots,t_i,\ldots,r_n), f(r_1,\ldots,r_n)\right] \in C.$$

This equation is same as (4.3) and so it leads to a contradiction as above.

Case 3. d,  $\delta$  all are outer. Assume first that d and  $\delta$  are linearly C-independent modulo inner derivations of U. Then by applying Fact 2.4, we can replace  $\delta(r_i)$  by  $t_i$  for  $i=1,\ldots,n$  and  $d(r_i)$  by  $x_i$  for  $i=1,\ldots,n$  in (4.1). By this substitution, we have the blended component

(4.6) 
$$a\left[\sum_{i} f(r_1,\ldots,t_i,\ldots,r_n), f(r_1,\ldots,r_n)\right] \in C$$

satisfied by U. This equation is same as (4.3). Thus by same argument we arrive to a contradiction.

Assume next that d and  $\delta$  are linearly C-dependent modulo inner derivations of U. Then there exist some  $\alpha_1, \beta_1 \in C$  and  $q' \in U$  such that  $\alpha_1 d + \beta_1 \delta = a d'_q$ . Since d is outer,  $\beta_1 \neq 0$  and hence  $\delta(x) = \lambda d(x) + [q, x]$ , where  $\lambda = -\alpha_1 \beta_1^{-1}$  and  $q = \beta_1^{-1} q'$ .

From (4.1), we obtain

$$a\Big[F(b)f(r) + 2bd(f(r)) + d^{2}(f(r)) + cf(r) + \lambda d(f(r)) + [q, f(r)], f(r)\Big] \in C.$$
(4.7)

Again by applying Fact 2.4, we can replace  $d(r_i)$  by  $y_i$  for i = 1, ..., n and  $d^2(r_i)$  by  $t_i$  for i = 1, ..., n in (4.7) and then U satisfies blended components

(4.8) 
$$a\left[\sum_{i} f(r_1,\ldots,t_i,\ldots,r_n), f(r_1,\ldots,r_n)\right] \in C.$$

This equation is same as (4.3) and hence we have contradiction as before.

## 5. Proof of Theorem 1.2.

In all that follows, we assume that R is a prime ring with char  $(R) \neq 2$ , U the Utumi ring of quotients of R and C = Z(U) the extended centroid of R. By [21, Theorem 3],  $\mathscr{F}(x) = bx + d(x)$ ,  $\mathscr{G}(x) = cx + \delta(x)$  for some  $b, c \in U$  and  $d, \delta$  are derivations of U.

If char (R) = 2 and R satisfies  $s_4$ , then we have our conclusion (5).

Thus we assume that either char  $(R) \neq 2$  or R does not satisfy  $s_4$ . Then by [14, Remark 1], there exists a nonzero ideal I of R such that  $[I, I] \subseteq L$ . Hence by hypothesis, we have

$$a\Big[\mathscr{F}(b)[s,t]+2bd([s,t])+d^2([s,t])+c[s,t]+\delta([s,t]),[s,t]\Big]\in C$$

for all  $s, t \in I$ . If char  $(R) \neq 2$ , then by Theorem 1.1, we have our conclusions.

Thus we assume that char (R) = 2. Then R can not satisfy  $s_4$ . By Fact 2.1 and Fact 2.2, we have

(5.1) 
$$a\left[\mathscr{F}(b)[s,t] + d^2([s,t]) + c[s,t] + \delta([s,t]), [s,t]\right] \in C$$

for all  $s, t \in U$ .

Moreover, if d(x) = [p, x] and  $\delta(x) = [q, x]$  are all inner derivations, then from above

$$a\Big[\mathscr{F}(b)[s,t] + [p^2,[s,t]] + c[s,t] + [q,[s,t]],[s,t]\Big] \in C$$

that is

$$a\Big[(\mathscr{F}(b)+p^2+c+q)[s,t]-[s,t](p^2+q),[s,t]\Big]\in C$$

for all  $s, t \in U$ . This can be written as

$$a\Big[\mathscr{F}(b)+p^2+c+q,[s,t]\Big][s,t]-[s,t]\Big[p^2+q,[s,t]\Big]\in C$$

for all  $s, t \in U$ .

By [14, Theorem 2.7],  $\mathscr{F}(b) + p^2 + c + q \in C$  and  $p^2 + q \in C$ , i.e.,  $\mathscr{F}(b) + c, p^2 + q \in C$ .

Thus the following three cases may occur.

Case 1. d are inner,  $\delta$  is outer.

Let for some  $p \in U$ , d(x) = [p, x] for all  $x \in R$ . By (5.1), U satisfies

(5.2) 
$$a\Big[\mathscr{F}(b)[s,t] + [p^2,[s,t]] + c[s,t] + \delta([s,t]),[s,t]\Big] \in C.$$

By Fact 2.4, we can replace  $\delta([s,t])$  by [x,t]+[s,y] in (5.2) and then U satisfies blended component

(5.3) 
$$a[x,t] = [s,y], [s,t] \in C.$$

Replacing x by [q, s] and y by [q, t] for some  $q \notin C$ , we have that

$$a\Big[[q,[s,t]],[s,t]\Big] \in C$$

for all  $s, t \in U$ . Then by [14, Theorem 2.7],  $q \in C$ , a contradiction.

Case 2.  $\delta$  is inner, d is outer.

Let for some  $q \in U$ ,  $\delta(x) = [q, x]$  for all  $x \in R$ . By (5.1), U satisfies

$$(5.4) \quad a\Big[\mathscr{F}(b)[s,t] + [d^2(s),t] + [s,d^2(t)] + c[s,t] + [q,[s,t]],[s,t]\Big] \in C.$$

Since d is outer, by Fact 2.4, we can replace  $d^2(s)$  by x and  $d^2(t)$  by y and then U satisfies blended component

(5.5) 
$$a[x,t] + [s,y], [s,t] \in C.$$

This is same as (5.3) and hence a contradiction follows.

Case 3.  $d, \delta$  all are outer.

Assume first that, d and  $\delta$  are linearly C-independent modulo inner derivations

of U. Then by Fact 2.4, we can replace  $d^2([s,t])$  by [x,t]+[s,y] and  $\delta([s,t])$  by [u,t]+[s,v] in (5.1) and then U satisfies blended components

(5.6) 
$$a[x,t] + [s,y], [s,t] \in C.$$

This is same equation as (5.3) and hence it leads to a contradiction as above.

Assume next that, d and  $\delta$  are linearly C-dependent modulo inner derivations of U. Then there exist  $\alpha', \beta' \in C$ ,  $q' \in U$  such that  $\alpha'd + \beta'\delta = ad'_q$ . Since d is outer,  $\beta' \neq 0$  and hence, we can write  $\delta(x) = \lambda d(x) + [q, x]$ , where  $\lambda = -\alpha'\beta'^{-1}$  and  $q = \beta'^{-1}q'$ .

From (5.1), we obtain

$$(5.7) a\Big[\mathscr{F}(b)[s,t] + d^2([s,t]) + c[s,t] + \lambda d([s,t]) + [q,[s,t]], [s,t]\Big] \in C.$$

By Fact 2.4, we can replace d([s,t]) by [u,t]+[s,v] and  $d^2([s,t])$  by [x,t]+[s,y] in (5.7) and then U satisfies blended components

(5.8) 
$$a[x,t] + [s,y], [s,t] \in C.$$

This is same equation as (5.3) and then by same argument we have a contradiction. Thus the Theorem is proved.

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